

Case No. 35539

1FW

**THE COMMISSIONER OF PATENTS  
AND TRADEMARKS**  
Alexandria, VA 22313-1450

Transmitted herewith for filing in the patent application of:

**Inventors:** John A. McMorris, III et al.  
**For:** System and Method for Tracking Environmental Emission Reductions  
**Serial No.:** 10/720,777  
**Filed:** November 24, 2003

**Enclosed are:**

- ☒ Citation Under 37 CFR § 1.97 (IDS)
- ☒ Form PTO – 1449 + copies of cited foreign references
  
- ☒ The Commissioner is authorized to charge or credit any discrepancies in fee amounts to Deposit Account No. 01-0484.

September 29, 2004

Date

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

**JOHN A. MCMORRIS III ET AL.**

Serial No. **10/720,777**

Filing Date: **November 24, 2003**

For: **SYSTEM AND METHOD FOR  
TRACKING ENVIRONMENTAL  
EMISSION REDUCTIONS**

Art Unit: **2171**

Attorney Docket No.  
**35539**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**

Attached is Form PTO-1449 listing several references for consideration in the examination of the above-identified application. In accordance with current USPTO procedures published 05AUG2003, in 1276 OG 55, copies of the U.S. patent documents cited in the form 1449A are not attached. The undersigned would be happy to provide copies of these references if requested. It is requested that these references be considered by the Examiner and officially made of record in accordance with the provisions of 37 CFR §1.97 and Section 609 of the MPEP.

Respectfully submitted,

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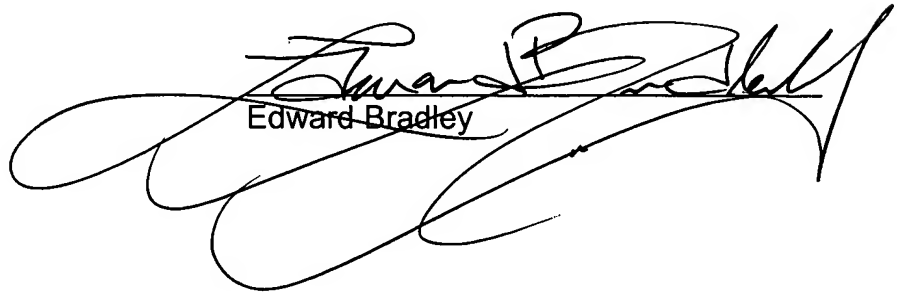
Agent for Applicant

In re Patent Application of:  
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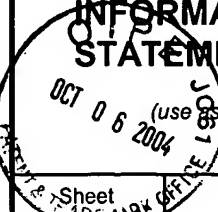
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**CERTIFICATE OF MAILING**

I hereby certify that the foregoing is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450, this 29<sup>th</sup> day of September, 2004.

  
Edward Bradley

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Substitute for form 1449A/PTO			<b>Complete If Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)			Application Number	10/720,777
			Filing Date	11/24/2003
			First Named Inventor	John A. McMorris
			Group Art Unit	2171
			Examiner Name	
			Attorney Docket Number	35539
Sheet 1	of	3		

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document Kind Code <sup>2</sup> Number (if known)		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA	2004/0093264		Shimizu	05/13/2004	
	AB	2004/0049424		Murray et al.	03/11/2004	
	AC	2004/0039684		Sandor	02/26/2004	
	AD	2004/0015454		Raines et al.	01/22/2004	
	AE	2003/0229572		Raines et al.	12/11/2003	
	AF	2003/0172697		Sower	09/18/2003	
	AG	6,601,033		Sowinski	07/29/2003	
	AH	2003/0101070		Mahosky et al.	05/29/2003	
	AI	6,569,092		Guichon et al.	05/27/2003	
	AJ	2002/0188459		Erickson	12/12/2002	
	AK	2002/0173980		Daggett et al.	11/21/2002	
	AL	2002/0173979		Daggett et al.	11/21/2002	
	AM	2002/0143693		Soestbergen et al.	10/03/2002	
	AN	2002/0084227		Sower	07/04/2002	
	AO	2002/0062594		Erickson	05/30/2002	
	AP	6,375,612		Guichon et al.	04/23/2002	
	AQ	2001/0032168		Adamson et al.	10/18/2001	
	AR	6,112,118		Hartnett	08/29/2000	
	AS	6,056,919		Markels, Jr.	05/02/2000	
	AT	6,005,939		Fortenberry et al.	12/21/1999	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

<sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete If Known**

Application Number	10/720,777
Filing Date	11/24/2003
First Named Inventor	John A. McMorris
Group Art Unit	2171
Examiner Name	
Attorney Docket Number	35539

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of

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**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
	AU	5,995,947		Fraser et al.	11/30/1999	
	AV	5,975,020		Caveny et al.	11/02/1999	
	AW	5,963,923		Garber	10/05/1999	
	AX	5,924,083		Silverman et al.	07/13/1999	
	AY	5,915,209		Lawrence	06/22/1999	
	AZ	5,887,547		Caveny et al.	03/30/1999	
	BA	5,787,402		Potter et al.	07/28/1998	

Examiner  
SignatureDate  
Considered

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				Application Number	10/720,777
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				Examiner Name	
Sheet	3	of	3	Attorney Docket Number	35539

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. <sup>3</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office	Number <sup>4</sup>	Kind Code <sup>5</sup> (If known)				
	BD	WO	2004/037747		Day et al.	05/06/2004		
	BE	WO	2004/010366		Chicago Climate Exchange, Inc.	01/29/2004		
	BF	WO	2004/001541		Nuride, Inc.	12/31/2003		
	BG	WO	03/103864		Malkamaki	12/18/2003		
	BH	JP	2003331088		Tokyo Gas Co.Ltd.	11/21/2003		
	BI	WO	03/058386		Fannie Mae	07/17/2003		
	BJ	WO	03/058385		Fannie Mae	07/17/2003		
	BK	WO	02/071832		Air Logistics Limited	09/19/2002		
	BL	WO	02/045318		The Agricultural Gas Company	06/06/2002		
	BM	WO	02/37433		International Carbon Bank and Exchange	05/10/2002		
	BN	WO	00/65902		Markels	11/09/2000		
	BO	WO	99/42814		Marino et al.	08/26/1999		
	BP	WO	99/01027		Environmentally Correct Concepts, Inc.	01/14/1999		

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